# Co-ethnics Co-vote in Africa:

# A New Approach to Studying Electoral Cleavages

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#### **Abstract**

While ethnicity is recognized as an important political cleavage in Sub-Saharan Africa and beyond, the extent to which it affects voting is contested. We highlight that selection bias from endogenous party existence complicates microlevel voting analyses, while bias from ecological inferences weakens macrolevel approaches. Our new approach solves both problems, by modeling covoting among pairs of voters. Mirroring classic formulations of party system concentration and ethnic homogeneity as Herfindahl-Hirschman indices, we estimate the effect of co-ethnicity on the probability that two voters co-vote for the same party while conditioning on other, confounding pairwise similarities between them. Our data consists of dyadic comparisons between respondents from Afrobarometer surveys. Pooling across 28 countries, our results show that co-ethnicity increases co-voting intentions by a precisely estimated and robust 16 percentage points. The effect of co-ethnicity is at least five times larger than that of shared occupation, education, religion, geography, or other observed socio-economic factors. Beyond ethnicity, the approach we propose addresses key methodological concerns in micro- and macro-level studies of the electoral consequences of socio-economic cleavages and bridges the gap between them.

**Keywords:** Ethnic voting; Ethnic parties; Ethnicity; African politics; Computational Methods

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It used to be a truism among political scientists that African voters would support co-ethnic candidates and African parties would target co-ethnics in election campaigns (e.g., Horowitz 1985; Rabushka and Shepsle 1972; Bayart 2009). Increasingly, however, researchers question this hypothesis. Some micro-level studies propose a broader sociological understanding of voting by identifying other relevant cleavages like religion or urban-rural differences (e.g., McCauley 2014; Nathan 2016); others adopt rationalist perspectives that emphasize individual economic interests and the quality of information available to voters (e.g., Bratton, Bhavnani and Chen 2012; Casey 2015; Ferree, Gibson and Long 2021). Macro-level comparative analyses identify electoral systems and ethnic inequality as conditioning factors of ethnic voting (Huber 2012; Huber and Suryanarayan 2016). Some studies even suggest that the effect of co-ethnicity on vote choice and party systems in Africa is entirely spurious, and simply reflects underlying geographic clustering (Ferree and Horowitz 2010; Boone et al. 2022).

Yet, micro, meso, macro-level analyses of the electoral effects of ethnic cleavages suffer from potentially severe methodological problems. At the micro-level, the interdependence between observed voting patterns and the fixed menu of parties at one election complicates inference. If researchers conceptualize ethnic voting as the support of voters for candidates from the same ethnic group, they risk selection bias if some groups do not field candidates (see also Ferree 2022). Moreover, the idiosyncratic and ever-changing menu of parties or candidates in individual elections prevent comparisons across countries and elections. Meso and macro-level comparative approaches address this challenge by analysing the degree to which ethnic groups vote for the same party (Huber 2012; Huber and Suryanarayan 2016; Houle, Park and Kenny 2019). Yet, inferring individual-level voting motivations from group or country-level analyses constitutes a clear case of ecological inference. Since ethnic cleavages frequently correlate with other social divisions, for example, geographic or economic differences, this approach might be biased by omitting such non-ethnic determinants of vote choice (Ferree and Horowitz 2010; Boone et al. 2022).

<sup>&</sup>lt;sup>1</sup>Our argument focuses on elections of parties as well as individual candidates, which is why we use these labels interchangeably unless otherwise noted.

We introduce a new analytical approach that combines the complementary strengths of micro-level voting studies and macro-level comparative work to solve the problems affecting either. Like the macro-level indices employed by comparativists, we assess the likelihood of co-voting among pairs of individuals. Yet, instead of building aggregate country or group-level measures, we model co-voting at the micro-level of individual *pairs of voters*.<sup>2</sup> This allows us to estimate the effect of co-ethnicity (or any other cleavage) between individuals conditional on other dyadic socio-economic characteristics with a standard linear probability model. Beyond their direct micro-level interpretations, we show that model coefficients capture the elasticity of party system concentration with regard to changes in countries' ethnic homogeneity at the macro-level. This congruence arises because our approach directly mirrors the micro-foundations of the classic Herfindahl-Hirschman Index. Our method thus bridges the prevailing gap between micro-and macro-level studies of electoral behavior and outcomes.

Our approach solves the aforementioned methodological problems of micro, meso, and macro-level approaches. By modeling pairwise co-voting, parties and candidates disappear from our formulation. This strongly reduces selection biases and allows for comparative analyses across countries and over time.<sup>3</sup> At the same time, our study of the co-occurrence of co-ethnicity and co-voting at the micro-level circumvents ecological inference problems while drastically increasing statistical power and the ability to account for confounders. Finally, our conceptual focus on dyadic co-voting brings sociological explanations of vote choice into focus (Lazarsfeld, Berelson and Gaudet 1968 (1944). It thus complements dominant individual-based theoretical accounts rooted in psychologocial and rational choice paradigms (Bates 1974; Horowitz 1985).

Empirically, we draw on multiple rounds of the Afrobarometer surveys from 28 states across Sub-Sahara Africa. We recast each country sample into pairwise comparisons between respondents. We capture our *outcome variable* by measuring co-voting intentions in presidential elections and shared preferences for po-

<sup>&</sup>lt;sup>2</sup>Our dyadic approach shares similarities with the study of international relations where joint unit-level features–countries in this case–influence collective action such as the formation of trade blocs, military alliances, or peace communities.

<sup>&</sup>lt;sup>3</sup>Selection bias continues to be a problem when respondents have no (stated) voting intentions.

litical parties. Co-ethnicity, the main *explanatory variable*, is measured as shared mother tongues among respondents. Shared demographic, economic, and geographic characteristics as well as survey-round-fixed effects constitute our controls. We estimate the probability of co-voting in linear probability models, and adjust standard errors to address the repeated inclusion of individuals from a limited number of ethnic groups across many dyads.

Our results show strong support for the dominance of co-ethnicity in determining co-voting in most African states in our sample. Co-ethnicity by mother tongue increases the probability that two respondents co-vote by 16 percentage points or 35 percent of the mean rate of co-voting. The result suggests an average elasticity of the concentration of countries' party system to changes in their ethnic homogeneity by .16. In addition to alternative measures of co-ethnicity and linguistic distance and modified approaches to data construction and modelling, our results are robust to studying co-voting only within administrative regions and survey enumeration areas. This shows that ethnic cleavages are more than just reflections of geographically determined political preferences.

Zooming in on specific countries and elections, we discuss variation in the effect of co-ethnicity on co-voting over time and across cases. In a set of descriptive analyses, we do not find that electoral systems, the level of democracy, or the strength of traditional institutions moderate the effect of co-ethnicity on co-voting. While our results also shows positive co-voting effects of socio-economic characteristics discussed in the literature such as religious, educational, occupational, and geographic similarities (e.g., Boone et al. 2022; Bratton, Bhavnani and Chen 2012; Koter 2016; McCauley 2014), these are at least 5 times smaller across our broad sample of African elections than the effect of co-ethnicity.

We conclude by discussing the implications of our new approach and findings for the wider study of voting in Sub-Saharan Africa. In particular, we highlight the utility of our new approach in studying the electoral effect of socio-economic cleavages beyond ethnicity and discuss potential extensions to apply it to local election results which would overcome its reliance on individual-level data. We end with a more general note on the importance of bridging micro- and macro-levels of analysis to achieve inferences of high internal and external validity.

# Ethnicity and Voting in Sub-Sahara Africa

Political scientists have come a long way from the once paradigmatic view that elections in Africa constituted an ethnic census (Horowitz 1985, 196). Classic works on vote choice in Sub-Saharan Africa either stress instrumental or psychological motivations for ethnic voting and the corresponding existence of ethnic parties (Mozaffar, Scarritt and Galaich 2003). In short, instrumentalists suggest that African voters support co-ethnic candidates to receive economic benefits through clientelist exchanges during the election period and patronage distribution afterwards, if their co-ethnic candidate joins the ruling coalition. Political elites themselves prefer to build ethnically-based support coalitions in order to limit access to state funds to ethnic insiders (Bates 1974; Laitin and van der Veen 2012). The psychological approach entails that voters reaffirm their identity through voting for co-ethnic candidates and attempt to avoid discrimination by ethnically distinct rulers. Political leaders cannot escape the logic of ethnic outbidding, in which more extreme political demands on behalf of co-ethnics gain more electoral support (Rabushka and Shepsle 1972; Horowitz 1985).<sup>4</sup>

However, over the last two decades the dominant role of ethnicity in shaping vote choice and party systems has come under scrutiny. More recent micro-level studies question both the mechanism by which ethnic identity explains vote choice, and explore alternative theoretical explanations. Scholars studying the first question frequently use (quasi-)experimental methods to understand the mechanisms that underlie the positive correlation between co-ethnicity and vote choice. Primarily, this research program questions psychological theories of expressive voting. The main alternative suggested by these studies holds that ethnicity simply constitutes an informational shortcut that signals the likelihood of economic benefits voters might receive from co-ethnic rule (Ferree 2006; Carlson 2015). Several experimental and quasi-experimental studies that vary the amount of information voters have about candidates support the instrumental interpretation (Conroy-Krutz 2013; Casey 2015; Carlson 2018; Ferree, Gibson and Long 2021). Overall, these findings

<sup>&</sup>lt;sup>4</sup>As briefly discussed in the introduction, sociological explanations of voting following Lazarsfeld, Berelson and Gaudet (1968 (1944) are curiously absent from both classic and recent analyses of ethnic voting, a theme we return to in the conclusion.

<sup>&</sup>lt;sup>5</sup>Though see Adida et al. (2017), who highlight how performance evaluation is inextricably linked

support an instrumentalist interpretation of ethnic voting while suggesting that ethnicity would cease to affect vote choice if African voters had more information about their candidates, or if other cleavages could fulfill the informational role of ethnicity (Dunning and Harrison 2010).

Another strand of voting research explores alternative voter motivations on the basis of survey data. Several studies pit co-ethnicity with presidential incumbents against prominent motivations found among voters in the United States and Europe, including economic performance evaluations and education (Bratton and Kimenyi 2008; Bratton, Bhavnani and Chen 2012), partisanship (Ferree and Horowitz 2010; Hoffman and Long 2013), populism (Resnick 2012), and urban-rural differences (Nathan 2016, 2019). Others stress that ethnic voting depends on local factors characteristic for many African countries, such as the presence and importance of traditional authorities (Baldwin 2013; Koter 2016), and the make-up of local ethnic geography (Ichino and Nathan 2013). The relative prominence of ethnic voting varies across these single-country or small-N case studies.

Although each of these studies is innovative in its own way, we note two limitations. First, the focus on one or few countries raises questions about the generalizability of results. Second, most studies measure ethnic voting as stated support for co-ethnic candidates. This analytical choice raises conceptual and methodological issues. Conceptually, these analyses implicitly assume that voters cannot vote ethnically when no co-ethnic candidate is on the ballot, or that they can only vote ethnically when no non-ethnic candidate is on the ballot (Ferree 2022). Methodologically, a limited "choice set" introduces selection bias into existing analyses of voter motivations particularly where the choice set is shaped by expectations about voters' electoral behavior.

To illustrate this bias, we consider a country with three ethnic groups that each constitute one third of the population respectively. We further assume that each individual obtains the same positive utility from voting for a co-ethnic candidate. Yet only parties representing two out of the three groups field candidates for an election. Any statistical analysis will underestimate the strength of ethnic voting to ethnic identity through motivated reasoning.

because 33.3% of population cannot vote for a co-ethnic candidate.<sup>6</sup> Put differently, a "0" classification for co-ethnicity in vote-choice outcomes mixes the absence and the impossibility of ethnic voting. Nathan (2016), for example, attempts to avoid this problem by dropping all individuals without a co-ethnic on the ballot. Yet, this causes selection bias if the "missing" candidate was not fielded in anticipation of a lack of ethnically structured support from the respective group members. The same problem arises when the choice set is broad but the analyst artificially limits vote choice, for example by only evaluating support for the incumbent (e.g., Bratton and Kimenyi 2008; Bratton, Bhavnani and Chen 2012).

The selection bias we describe raises broader conceptual questions about ethnic voting conceived as co-ethnicity between voters and their preferred candidate. The left panel in Figure 1 illustrates the prevalent approach by summarizing existing vote choice motivations under the demand category. However, voters can only vote for candidates and parties that are on the ballot, and it is elites who decide to run in anticipation of electoral success. Thus, observational voting studies that capture ethnic voting through candidate-voter co-ethnicity would need to adjust their work for the factors that influence candidate supply.<sup>7</sup>

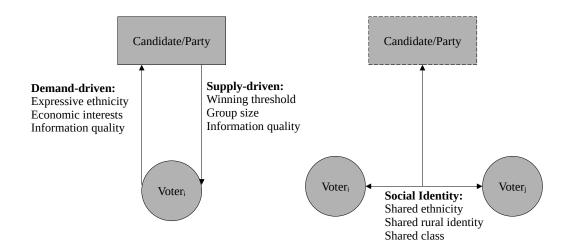
Comparativists study these supply factors when investigating different types of party systems. Moving to the macro-level, they follow up on Duverger's famous prediction that party system size is a function of social cleavages and electoral rules. Equating ethnic cleavages measured through ethnic fragmentation indices with demand for parties, and the permissiveness of electoral systems as a proxy for party supply, previous research supports the notion that the effective number of ethnic groups in a country correlates with the effective number of parties (Mozaffar, Scarritt and Galaich 2003; Clark and Golder 2006; Lublin 2017). While these studies show that elites consider the ethnic landscape when forming parties, they cannot rule out that ethnic diversity indices capture other underlying cleavages, such as shared regional interests (cf. Boone et al. 2022).

Indeed, case studies frequently show that party competition goes beyond eth-

<sup>&</sup>lt;sup>6</sup>According to Ferree (2022) this problem is pervasive, as two thirds of voters in Ghanaian, Ugandan, and Kenyan legislative elections saw either exclusively or no co-ethnic candidates.

<sup>&</sup>lt;sup>7</sup>This concern does not affect experimental studies of voters' attitudes towards synthetic candidates with attributes fully under the control of the researcher.

Figure 1: Different conceptualizations of vote choice.



nicity. Elischer (2013), for example, describes catch-all, programmatic, and personalistic parties in three African states. Horowitz (2022) shows how presidential candidates in Kenya pursue swing voters among non-co-ethnics. Both studies demonstrate that group size strongly determines whether or not a group fields a candidate or party of their own (cf. Posner 2004). Bridging the gap from this macro-level size criterion to micro-level findings of the importance of other cleavages, Ferree (2010) shows how divisions within the largest ethnic group of a country induce intra-group competition and high levels of electoral volatility.

While research at the macro-level thus points to the supply-factors that are missing from micro-level research, it frequently starts from an assumption of fixed ethnic preferences among voters by positing that cleavages directly translate into party demand. Small-N studies point to the relevance of group size in determining whether party supply will be viable but potentially overlook an expressive demand for party representation among individuals that belong to smaller groups with little chance of winning elections directly (Mor 2022).

In recent years, some comparativists set out to reconcile variation in individual voter preferences and supply factors at the meso-level. They model ethnic voting as the joint vote of group members for one party (right panel, Figure 1). This group-level approach side-steps concerns about constrained choice sets, because

individuals from smaller ethnic groups without their own candidate can vote together for non-co-ethnics. As long as they support the same candidate, their behavior would be classified as ethnic voting. Another advantage of these studies is their explicit recognition of the contextual nature of identity effects. Huber (2012), for example, finds that ethnicity is less predictive of vote choice in countries operating proportional representation systems and in decentralized states. Huber and Suryanarayan (2016) and Houle, Park and Kenny (2019) find that higher levels of between-group economic inequality increase the likelihood of ethnic voting, especially when within-group inequality is low.

Yet as the group-based analytical strategy relies on *ecological inference* to deduce individual voting motivations, it risks omitted variable bias that stems from two distinct sources. First, group-level analyses reify group boundaries and thereby preclude a more nuanced understanding of potentially variable ethnic boundary markers, such as language versus religion, or even endogenous processes of identity change (Posner 2005; ?; ?; Müller-Crepon 2023). Second, and more importantly, ethnic group-level analyses start from an assumption that ethnicity is the prime dimension along which voting behavior is structured. Yet individual-level voting studies stress the relevance of several other non-ethnic boundary markers in influencing individual voting decisions, including urban-rural differences (Nathan 2016; Wahman and Boone 2018; Harding 2020), regional coalitions (Ferree and Horowitz 2010; Boone et al. 2022), and economic class interests (Bratton, Bhavnani and Chen 2012; Resnick 2012). The threat of omitted variable bias increases to the extent that ethnicity is endogenous to or correlated with those cleavages.

In sum, research on voting and parties in Africa find more and more evidence that voting intentions and party programs are as diverse as in other regions of the world. Cleavages beyond ethnicity, such as individual-level economic interests, urban-rural differences, and shared economic-territorial preferences, matter for individual vote choices and party programs. To understand the limits and remaining power of ethnicity for vote choice in Africa, we then need a novel analytical approach that addresses (some of) the limitations of existing work on voting in Africa and beyond. Particularly, such a study should (1) recognize and separate studies of candidate demand and supply to overcome selection bias; (2) compare as many al-

ternative motivations and identity categories that might serve as a basis for voting to avoid omitted variable bias; and (3) compare a broad number of countries over time to ensure external validity.

# A new approach to studying the effects of (ethnic) cleavages

Our new approach bridges the prevailing approaches to study the effect of ethnic cleavages by combining their strengths which together address their main weaknesses. In short, we follow macro- and meso-level measurement strategies to conceptualize the effect of ethnic cleavages as the effect of co-ethnicity on co-voting. Overcoming their reliance on aggregate indicators which leads to problems of ecological inference, we study co-voting in pairs of individuals contained in survey data with standard regression models. In the following, we introduce our approach to bridging the micro-, meso-, and macro-levels of analysis, explain the transformation of the Afrobarometer data into voter pairs, and discuss the empirical specification of our regression models.

## Bridging micro- and macro-approaches

Macro-approaches often study the structure of party systems as the result of an interplay between institutional determinants and socio-demographic factors such as societal cleavages. Empirically, such studies employ aggregate measures to operationalize the main variables of interest, such as the effective number of parties (ENP) to measure party system fragmentation, or Herfindahl-Hirschman Indices (HHI) of ethnic homogeneity. Yet, while the empirical focus is on the macro-level, these measures have explicit meso- and micro-foundations in theory and measurement. In particular, the HHI and its inverse, Laakso and Taagepera's (1979) ENP are often computed with meso-level measures of party's size as

$$ENP = HHI^{-1} = \left(\sum_{p=1}^{K} s_p^2\right)^{-1},$$
 (1)

where  $s_p$  is the vote share of party  $p \in K$ . The effective number of parties thus increases with more and more equally sized parties. As scholars of ethnic fragmentation note, the HHI has clear micro-foundations: it reflects the chance that two randomly drawn individuals belong to the same group or category (Alesina, Baqir and Easterly 1999). In terms of voting, the relative vote shares of one party simply reflect the probability that an individual voter supported that party. Squaring that probability then yields the chance that two random voters voted for the same party. We can thus reformulate the definition of the ENP as as the inverse of the chance that two randomly chosen voters vote for the same party:

$$ENP = \left(\frac{1}{N^2} \sum_{i=1}^{N} \sum_{j=1}^{N} \text{co-voting}_{i,j}\right)^{-1}, \tag{2}$$

where i and j are individual voters drawn from all voters N, and co-voting i, j is an indicator that returns 1 if i and j co-vote for the same party p and 0 otherwise.

Rarely noticed, Equation (2) shows that the simple squaring of party shares in Equation (1) introduces downward bias when these are computed from a finite sample of individuals N. ENP is then influenced by comparisons between the same voter i = j which must yield  $\mathbb{1}_{i,j} = 1$ . Drawing on Simpson (1949), this bias can be corrected by avoiding "within-individual" comparisons when computing the ENP:

$$ENP = \left(\sum_{p=1}^{N} \left(\frac{N_p}{N} \frac{N_p}{N-1}\right)\right)^{-1}$$
 (3)

$$= \left(\frac{1}{N^2 - N} \sum_{i=1}^{N} \sum_{j=1, j \neq i}^{N} \text{co-voting}_{i, j}\right)^{-1}$$
(4)

Equation 4 shows how the unbiased expectation of ENP is equivalent to the inverse of the average *co-voting* rate between all voters.<sup>8</sup> Ethnic homogeneity among voters, when measured through HHI, in turn is equivalent to the average rate of co-ethnicity between voters. Moving beyond measures of diversity, measures of dispersion such as the Gini coefficient can be similarly reformulated as compar-

 $<sup>^{8}</sup>$ Eq. (4) approaches Eq. (2) as N increases towards infinity.

isons between pairs of individuals.9

We hone in on these micro-foundations of macro-level indicators and propose to model the effect of ethnic cleavages by estimating the effect of co-ethnicity on co-voting in pairs of individuals i and j. We start deriving the respective

$$Co\text{-voting}_{i,j} = \beta_0 + \epsilon_{i,j} \tag{5}$$

with  $i, j \in N, i > j$ . In this formulation,  $\hat{\beta_0}$  captures the average rate of covoting among all pairs of individuals and is thus equivalent to  $ENP^{-1}$  in Equation (4). The pairwise regression model in Equation (5) can easily be extended by adding dyadic predictors which measure individuals' similarities or difference on important socio-economic cleavage dimensions as well as other control variables. We thus propose to estimate the effect of co-ethnicity on co-voting as

Co-voting<sub>i,j</sub> = 
$$\beta_0 + \beta_1$$
 co-ethnicity<sub>i,j</sub> +  $\gamma \mathbf{x}_{i,j} + \epsilon_{i,j}$  (6)

As a consequence of the parallel construction of HHI and Eq. (8) as well as the co-ethnicity  $_{i,j}$  indicator, the estimate for  $\beta_1$  has a micro and a macro-level interpretation. At the micro-level, it can be interpreted as marginal effect of the respective predictors on the probability of co-voting between individuals. At the macro-level, it is the elasticity of the party-system concentration in response to marginal changes in ethnic homogeneity such that

$$\frac{\delta \, HHI(party)}{\delta \, HHI(ethnic)} = \frac{\delta \, \text{co-voting}_{i,j}}{\delta \, \text{co-ethnicity}_{i,j}} = \beta_1, \tag{7}$$

By mirroring the construction of the HHI at the micro-level, the regression model in Equation (8) thus effectively bridges the micro and macro-level. This characteristic extends to other dyadic comparisons between voters that reflect macro-level measures, such as, for example, pairwise wealth differences which constitute the building blocks of the Gini coefficient.

<sup>&</sup>lt;sup>9</sup>The Gini coefficient can be computed as half the mean absolute (wealth, income, education, etc.) difference among all pairs of individuals, see e.g., Sen (1997, p. 31).

<sup>&</sup>lt;sup>10</sup>See Appendix Figure A1 for an empirical demonstration of the equivalence. Note that the estimation method has the added benefit of yielding confidence intervals that reflect the uncertainty introduced by the sampling of survey respondents.

As we show below, doing so solves problems of ecological inferences and allows for inter-temporal and cross-country comparisons without incurring selection bias or requiring any ex ante coding or standardization of parties or candidates. To ease understanding of the approach, we first introduce our data structure and then present our regression model.

# Building dyadic data on co-voting, co-ethnicity, and other cleavages

To operationalize our analysis of co-voting among individuals, we transform survey data into pairs of individual respondents. For each pair, we encode whether respondents co-vote and measure ethnic and other cleavages through respondents' pairwise shared ethnicity and similarity in other respective socio-economic characteristics.

Our main data source consists in the nationally and, in expectation, locally representative Afrobarometer survey series which contains data on political preferences across an increasingly large set of states in Sub-Sahara Africa since 1999. For the most part, we rely on the survey's seventh round fielded between 2015 and 2018 in 29 states across Sub-Sahara Africa. A set of analyses that gauges variation in the effect of ethnic cleavages over time draws on rounds 3–7. In addition to surveying preferences for presidential candidates and political parties, the surveys cover a large range of demographic and economic items, and provide geographic information on respondents' place of residence. The resulting information allows us to capture co-voting as well as a wide range of widely discussed cleavage dimensions including but not limited to co-ethnicity.

**Unit of analysis:** Closely following the logic introduced in Equation (4) above, we transform the data from each survey-round in each country into the set of all undirected dyadic comparisons between respondents  $i, j \in N_{c,t}$  with  $i \neq j$ . This gives rise to a total of  $(N_{c,t}(N_{c,t}-1))/2$  observations per country-round. After drop-

<sup>&</sup>lt;sup>11</sup>See Appendix ?? for a list of countries and summary statistics.

<sup>&</sup>lt;sup>12</sup>Rounds 1 and 2 do not include an item on preferences over candidates in potential presidential elections.

 $<sup>^{13}</sup>$ Equation 4 does not depend on any notion of directionality in the comparison between i and j and can therefore be reformulated from directed to undirected dyads without any loss of information or precision.

ping observations with missing data, our main analysis of preferences for presidential candidates (parties) draws on a median number of 688 (471) respondents and 236'328 (110'685) dyadic comparisons between them per country surveyed. For each "dyad" we encode our outcomes – whether respondents i and j share a voting intention or preference for a given candidate – and measure their co-ethnicity as well as similarities across other socio-economic dimensions.

**Outcomes:** We encode our main two measures of co-voting by drawing on answers to Afrobarometer's questions on respondents' preferences over presidential candidates<sup>15</sup> and parties:

*Presidential candidate voting intention:* If a presidential election were held tomorrow, which party's candidate would you vote for?

Party preference: Do you feel close to any particular political party? Which party is that?<sup>16</sup>

Drawing on these items, we encode two dummy variables that take the value of 1 if respondents i and j share a preference for the same candidate or party and 0 otherwise. The result is visualized for a sample of 10 respondents from Ghana in Figure 2. Each dot represents one respondent with its color reflecting their preferred candidate and party. Lines between respondents are drawn in black ('1') where they share a preference and in grey ('0') where they do not. We note the the average value of these outcome variables within a country-round corresponds directly to the HHI of party concentration or the inverse ENP (see Equation 4).

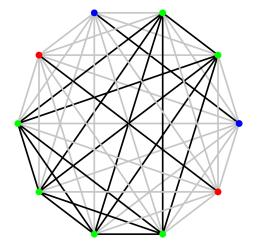
**Co-ethnicity:** We encode our main explanatory variable of interest – respondents' pairwise co-ethnicity – by encoding a binary variable that captures whether they

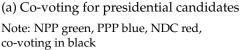
<sup>&</sup>lt;sup>14</sup>Appendix Figure A2 shows that our results are robust to reducing the number of comparisons per respondent down to as few as one. Weighing observations such that each country-round receives equal weights slightly increases our main estimates, see Appendix Table A6.

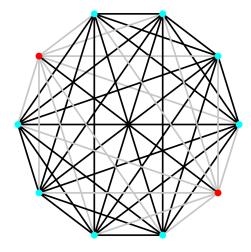
<sup>&</sup>lt;sup>15</sup>Missing in countries without presidents and rounds 1 and 2.

<sup>&</sup>lt;sup>16</sup>Missing values are recorded for respondents who do not feel close to any party.

<sup>&</sup>lt;sup>17</sup>We drop individuals with missing responses. For the main analysis, we recode answers classified as "other" as missing. Yet, Appendix Table A7 shows that coding such answers as separate parties for each respondent does not change the results.







(b) Co-voting for parties Note: NPP turquois, NDC red, co-voting in black.

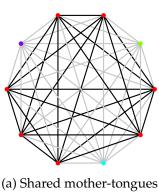
Figure 2: Co-voting dyads from 10 respondents in Ghana, Round 7

share the same mother tongue (1) or not (0).<sup>18</sup> As visualized for the randomly drawn 10 Ghanaians in Figure 3a, this leads to many co-ethnic dyads among respondents from large language groups (e.g., the Akan in red) and non-co-ethnic ones between groups. We note again that the average pairwise co-ethnicity in a country-round corresponds directly to the Herfindahl-Hirschman Index of ethnic homogeneity (see Equation 4).

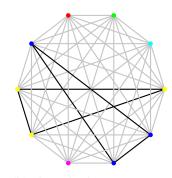
Respondents' reported mother-tongue is among the least malleable indicators of ethnic identities and therefore least likely affected by reverse causality or omitted variable bias. In particular in contrast to the language spoken at respondents' home or their self-proclaimed ethnic identity, mother tongues are unlikely affected by political concerns of respondents (e.g. Green 2021) and assimilation over their lifecourse (e.g. Müller-Crepon 2023). We employ three different strategies to address the remaining potential for omitted variable bias, for example, economic factors affecting political preferences as well as ethnic identities, and reverse causation, such as multi-generational assimilation that aligns ethnic to political identities. First, we

<sup>&</sup>lt;sup>18</sup>The respective question reads: "Which [enter nationality] language is your mother tongue or language of origin?" Note that Afrobarometer round 7 is the first to ask specifically about respondents' mother tongue as separate from the language spoken in their home *now*. Hampering comparisons over time, all previous rounds ask about respondents' "home language" which leaves this crucial distinction open.

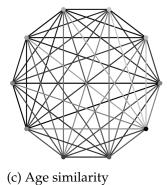
<sup>&</sup>lt;sup>19</sup>We test the alternative measurements of ethnic identity in Appendix B.1.



(a) Shared mother-tongues Note: Colors denote mother-tongues, intra-group edges in black

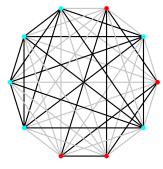


(b) Shared religion Note: Colors denote religious groups, intra-religion edges in black

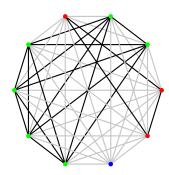


(decades)

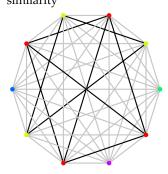
Note: Grey-scale denotes older age and greater similarity



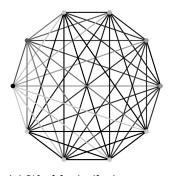
(d) Shared gender Note: Female in light blue, male in red, intra-gender edges in black



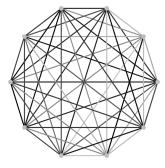
(e) Shared education Note: Colors denote education levels, intra-education level edges in black



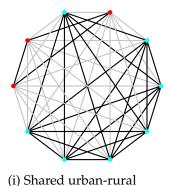
(f) Shared occupation Note: Colors denote occupations, intra-occupation edges in black



(g) Wealth similarity Note: Dark shades denote wealthier respondents and greater similarity



(h) Geographic proximity Note: Darker shades denote greater proximity



status Note: Urban blue, rural red, shared status edges in black

Figure 3: Encoding of main explanatory variables on example graph of 10 respondents from Ghana

condition our estimates on several other individual-level covariates which might affect individuals stated ethnic origin and their political preferences. Second, we analyze variation in effects at short and large linguistic distances, which are harder to overcome through assimilation or misrepresentation. Third, a set of robustness checks zooms in on co-voting among respondents from the same enumeration area, thus holding geographic factors constant.

Control variables: With regard to our first strategy of conditioning on observables, we encode a set of pairwise comparisons between respondents that capture prominent political cleavages and might affect individuals reported language. All are visualized for our exemplary 10 Ghanaian respondents in Figures 3b to 3i. For reasons of consistency, we construct our measures such that larger positive values denote greater similarity between respondents which should, in expectation, come with higher probabilities of co-voting.

First, we complement our measure of shared mother tongues by accounting for whether respondents share the same *religion*. Second, we capture *demographic similarities* between respondents by encoding age and gender similarities. Third, we approximate *economic cleavages* by adding dummy variables for shared educational and occupational background as well as wealth similarity, measures as one minus absolute wealth differences.<sup>20</sup>. Lastly, we capture purely *geographic cleavages* by accounting for as-the-crow-flies proximity between respondents (in 1'000km) and a dummy variable capturing whether respondents share their urban vs. rural status.

Combining data across countries and rounds: Because our measures of covoting, co-ethnicity, and additional control are measured as binary or continuous indicators of similarity the data can be stacked and analyzed across countries and rounds without any additional processing. This is a substantive advantage over standard approaches of modeling the effect of ethnic (or other) cleavages on party or candidate preferences which require harmonization across context with the selection biases this gives rise to.

<sup>&</sup>lt;sup>20</sup>Wealth differences are derived from an individual-level wealth index constructed with a principal component analysis of indicators on respondents' availability of food, water, healthcare, and income. As noted above, the resulting measure is closely related to the Gini coefficient.

## Modelling the effect of ethnic cleavages on co-voting

With the undirected dyad of respondents i and j as our main unit of analysis, we employ a linear probability model to estimate co-voting between respondents as

Co-voting<sub>i,j</sub> = 
$$\beta_0 + \beta_1$$
 co-ethnicity<sub>i,j</sub> +  $\gamma x_{i,j} + \epsilon_{i,j}$  (8)

where  $\beta_0$  captures the baseline probability of co-voting between i and j.  $\beta_1$  captures the effect of i and j sharing their mother tongue, while the vector  $\gamma$  comprises the effects of co-variates  $\mathbf{x}_{i,j}$ , again measured as comparisons between individuals i and j as described above and visualized in Figure 3. Because all socio-economic factors underlying  $\mathbf{x}_{i,j}$  can plausibly be causes and consequences of respondents' ethnic identity, our main analysis estimates a baseline model without any controls alongside the fully specified model with controls.<sup>21</sup>

We consider various strategies to adjust standard errors for the interdependence between observations and choose the conservative two-way clustering on the ethnicity of individuals i and j making up each dyad. These clusters correspond to the level of "treatment assignment" if we consider ethnic groups to be treated as groups. The resulting confidence intervals are as large as clustering on the level of entire countries. They are also significantly more conservative than clustering on the level of individuals or their enumeration area. Lastly, Appendix Figure A3 shows that employing Aronow et al.'s (2015) cluster-robust variance estimator for dyadic data at the level of individuals, their ethnicity, or their locations of residence leads to over-confident uncertainty estimates.

Beyond its effects on uncertainty estimates, unit-interdependence may bias point estimates in our setting. An extensive robustness analysis shows equivalent results when using a *Probabilistic Partition Model* recently developed by Müller-Crepon, Schvitz and Cederman (2023). Discussed in more detail below, we adapt the model to estimate the effect of our dyadic cleavage indicators on the partitioning of voters into parties and find results that closely coincide with our main estimates.

 $<sup>^{21}\</sup>mbox{Logistic}$  regression models yield equivalent results. See Table A8.

# **Results**

Our analysis of co-voting among Afrobarometer respondents indicates strong evidence in support of the hypothesis that co-ethnicity increases the rate of co-voting. Our estimates indicate that sharing one's mother-tongue on average increases the probability that two individuals support the same presidential candidate and political party by 16 percentage points or 30% of the average rate of co-voting. The effects we observe are robust to alternative specifications, hold within small geographic radii, and are substantially larger than effects associated with any other cleavage we account for. They show relatively little variation across electoral systems, levels of democracy, and strength of often ethnically defined traditional institutions.

## Main findings

Table 1 presents our main estimates, showing the unconditional and conditional effect of co-ethnicity on respondents' co-voting for presidential candidates and legislative parties. We find that pairs of respondents who share their mother tongue are between 15.8 and 17.1 percentage points more likely to support the same presidential candidate and party. The effect is very stable across specifications, does not vary between our two outcomes, and is associated with little uncertainty (p < .001).

Substantively, these effects are large. We observe an average rate of co-voting of 46 percent among survey respondents. The conditional increase in co-voting that comes with sharing one's mother-tongue of 16 percentage points (Models 2 and 4) thus amounts to 35 percent of the mean rate of co-voting. The effect of coethnicity also swamps the effect of any other pairwise similarity between respondents, the substantively largest being that of shared occupation with an effect of 3.4 percentage points. We will return to a more thorough comparison of the effect of co-ethnicity with other cleavage dimensions below.

In other words, a marginal increase in ethnic homogeneity translates, according to our model, to a marginal increase in the concentration of candidates of parties at a proportion of 1 to .16.<sup>22</sup> This positive elasticity stands in drastic contrast to the

<sup>&</sup>lt;sup>22</sup>Because ENP = 1/HHI, the elasticity of the effective number of parties to changes in ethnic

Table 1: Candidate preference coincidence with language differences

Dependent Variables:	Presidential candidate		Legislative candidate	
Model:	(1)	(2)	(3)	(4)
Variables				
Shared mother tongue $(0/1)$	0.171***	0.162***	0.166***	0.158***
<u> </u>	(0.031)	(0.030)	(0.033)	(0.033)
Shared religion $(0/1)$		0.021**		0.020**
		(0.008)		(0.008)
Age similarity (decades)		-0.004***		-0.003*
		(0.001)		(0.001)
Shared gender $(0/1)$		-0.001		-0.003*
		(0.001)		(0.001)
Shared education $(0/1)$		0.021***		0.018***
		(0.004)		(0.004)
Wealth similarity (sd)		0.003*		0.001
		(0.001)		(0.002)
Shared occupation $(0/1)$		0.034***		0.034***
		(0.007)		(0.007)
Geographic proximity (1'000km)		0.039*		$0.033^{+}$
		(0.018)		(0.018)
Shared urban vs. rural $(0/1)$		0.023***		0.021***
		(0.005)		(0.005)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.459	0.459	0.460	0.460
Countries	26	26	28	28
Respondents	16,824	16,824	12,970	12,970
Dyads	5,803,878	5,803,878	3,310,183	3,310,183
$R^2$	0.074	0.078	0.073	0.076
Within R <sup>2</sup>	0.018	0.021	0.017	0.020

Clustered (Mother tongue & Mother tongue) standard-errors in parentheses Signif. Codes: \*\*\*: 0.001, \*\*: 0.01, \*: 0.05, +: 0.1

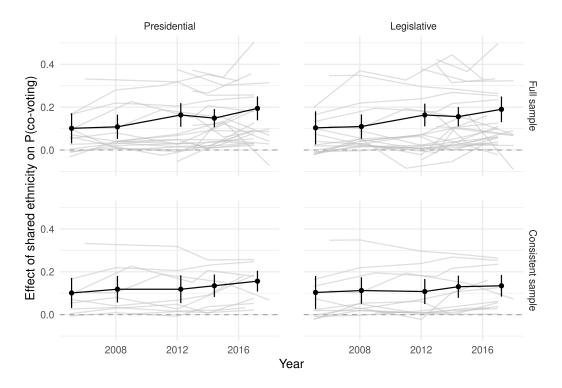


Figure 4: Effect over time, by Afrobarometer survey round

Note: Coefficients result from the fully specified model in Eq. estimated separately for each Afrobarometer survey round and using respondents' home language to construct the co-ethnicity indicator. 'Full sample' refers to all countries included in any one survey round, while 'consistent sample' refers to countries included in Afrobarometer round 1. Grey lines plot country-by-country estimates over time, see Appendix ?? for full results.

*negative* relationship observed when using country-level data (see Appendix ??), highlighting the caveats of ecological inferences drawn from aggregate data.

We observe little systematic change in the aggregate effect of co-ethnicity on covoting over time. When repeating our analysis for each round of the Afrobarometer in Figure 4 we find a slight upwards trend in the full sample, which includes increasingly many countries. Yet, there is no substantive changes in the effect of coethnicity once we subset the sample to the set of countries that has been surveyed in all rounds. In other words, the upwards slope observed in the upper panels in Figure 4 is due to the fact that Afrobarometer's coverage of Sub-Sahara Africa has grown over time to include countries with more extensive co-voting along ethnic lines.

In contrast, the estimates of ethnic co-voting varies within countries over time,

homogeneity depends on the value of other covariates, in particular the country fixed effects, as well as prevailing level of ethnic homogenity.

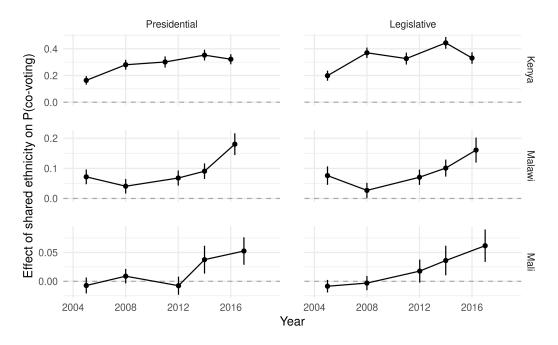


Figure 5: Ethnic voting over time in Kenya, Malawi and Mali Note: Coefficients result from the fully specified model in Eq. estimated separately for each Afrobarometer survey round with respondents' home language as ethnicity indicator.

and our comparative approach traces these trends. We discuss three cases that feature prominently in previous studies, sometimes as examples that demonstrate the weakness or even absence of ethnic voting. Figure 5 displays the estimated strength of ethnic voting intentions in presidential and legislative elections across the Afrobarometer rounds 3-7 for Kenya, Malawi, and Mali. Political scientists typically describe Kenyan elections as classic cases of ethnic voting (Bratton and Kimenyi 2008). Our analysis confirms this interpretation. The strength of the shared mother tongue coefficient reaches more than twice the sample average in six out of ten survey rounds. Over time, co-ethnic voting has increased on average, with a small decline in co-ethnic voting intentions in 2016. Even though parties and candidates in Kenya may target swing voters that have no co-ethnic on the ballot (Horowitz 2022, 6), the vast majority of Kenyans votes in line with their ethnic group.

Next, we turn to Malawi and Mali, two countries for which prominent studies diagnosed weak ethnic voting patterns. In line with Ferree and Horowitz' analysis of Malawi, we indeed observe near-zero coefficients for shared ethnicity in the run-up to the 2009 election, in which the ethno-regional voting "pattern broke

down in dramatic fashion" (2010, 535). However, since then our estimates indicate a strengthening of co-ethnic voting patterns that reach the estimated average effect for all elections in SSA in the latest Afrobarometer round. This observation challenges recent work that points to Malawi's persistent regional voting blocs as an alternative explanation to ethnic identity (Boone et al. 2022). Yet Boone et al.'s meso-level analysis imposes geographic blocs as the main cleavage. In contrast, our dyadic approach at the micro-level allows us to estimate the relative influence of multiple cleavages without favoring one over another. Finally, in a widely-cited study, Dunning and Harrison (2010, 21) "help explain why ethnicity has a relatively minor role in Mali ... [a] country in which ethnic identity is a poor predictor of vote choice." Our analysis confirms Dunning and Harrisons's verdict when they wrote their study in the late 2000s. More recently however, ethnicity has gained prominence in Malian citizens' voting decisions, a trend that underlines the importance of broad comparative work like ours.

#### Robustness checks

We systematically test the robustness of our results to the measure of ethnicity used, accounting for the potentially biasing effect of geography, changes in the setup of the data and estimation, as well as to using an alternative statistical network estimator. Our discussion below summarizes the results presented in Appendix B and C.

Accounting for potentially endogenous ethnicity: As discussed above, one threat to inference consists in endogenous ethnic change or identity misreporting among respondents. For example, minority members might be incentivized to report being a member of a powerful majority group (Green 2021) or economic incentives might shape political preferences and ethnic groups in parallel (Pengl, Roessler and Rueda 2022). In appendix B.1, we implement two strategies to gauge in how far such processes can explain our main findings.

First, we leverage differences in the malleability of different ethnic markers. Beyond respondents mother tongue, interviewers in Afrobarometer round 7 also asked respondents about (a) the language spoken in their homes *now* and (b) their

"ethnic community, cultural group, or tribe". Both are more malleable than reported mother tongues, with the current language at home being most susceptible to change and strategic reporting. Yet, in particular the ethnicity item is also more precise in reflecting their current ethnic identification than information about individuals' mother tongue, thus reducing measurement error and related dowward bias. Re-estimating our main specification using these two variables to construct the indicator of pairwise co-ethnicity,we find the smallest effect (12 percentage point) for share language spoken at home. The more precise indicator of shared ethnicity has a slightly larger effect (19 percentage points) than our baseline specification.

Our second strategy draws on the assumption that misreporting and assimilation is least likely to affect pairs of respondents with very distinct and linguistically unrelated mother tongues. We thus estimate the effect of the pairwise linguistic proximity between respondents and find that co-voting is least likely among respondents who grew up speaking unrelated languages.<sup>23</sup> In combination, these results suggest that strategic misreporting or endogenous ethnic change are unlikely to substantively affect our results.

Accounting for geographic variation: A second threat to inference originates in the geography of ethnic groups. Because ethnic groups tend to live in spatially distinct (yet overlapping) regions, co-ethnic voting might simply be driven by an alignment of political preferences of individuals who live in the same administrative region or even location and for that reason tend to vote together (Boone et al. 2022; Boone 2024), an argument that dovetails with findings of non-ethnic voting of local minorities in presidential elections (Ichino and Nathan 2013). This risk is further compounded by previous findings that the drawing of subnational borders has partially shaped ethnic geography itself (Posner 2005; Müller-Crepon 2023). Yet, note that individuals' place of residence is not entirely exogenous either, but shaped through ethnic migration patterns (Müller-Crepon 2023, see also Marbach 2021).

<sup>&</sup>lt;sup>23</sup>We compute linguistic distance through the ethnic linkages data from Müller-Crepon, Pengl and Bormann (2022).

We address this threat by excluding any variation between administrative regions or single localities from our data (see Appendix B.2). We do so by constructing our dyadic comparisons *after* splitting each country-round into disjoint samples from (a) administrative regions and (b) enumeration areas (EAs). The resulting data then features no dyads than span across these spatial units, leaving only comparisons among respondents who live in the same region/EA. Doing so increases the rate of shared mother tongues from 20 percent in the full sample to 40 percent within regions and 61 percent within enumeration areas.<sup>24</sup> While decreasing in size, estimates of the effect of a shared mother tongue remain sizeable even within regions (10-12ppts) and enumeration areas (6-7ppts). While the decrease speaks to more frequent alignment of electoral preferences across ethnic lines within small geographic radii, the result also shows that geographic drivers of ethnic identification and vote choice are unlikely to drive our results.

**Data construction:** We vary a number of choices made in the construction of our dyadic comparisons between survey respondents (see Appendix B.3). We first sequentially reduce the number of comparisons to the point of leaving only one comparison per respondent. This yields stable coefficient and uncertainty estimates. Second, we account for variation in the number of dyadic comparisons per country by weighting each dyad by the inverse number of dyads from its country such that every country receives the same weight.<sup>25</sup> This increases coefficient estimates slightly. Lastly, we recode preferences for "other" candidates and parties such that each such response is coded as its own candidate or party instead of being dropped. Doing so does not materially change the results.

**Model specification:** We furthermore test the robustness of our results regarding the most important modeling decisions (see Appendix B.4). We first reestimate the main specifiations in Table 1 using logistic regression models, which yields equivalent results (). Second, as noted above, we test various ways of clustering our standard errors to account for the interdependence between dyadic compar-

<sup>&</sup>lt;sup>24</sup>Similarly, co-voting increase from 46 percent to 51 and 59 percent within regions and enumeration areas, respectively.

<sup>&</sup>lt;sup>25</sup>This imbalance results from differing rates of missingness in the data.

isons, which yields less conservative estimates with the exception of clustering at the country level which yields marginally more conservative uncertainty estimates. Third, we implement different fixed effect specifications to account for potential sources of bias at the level of language groups, enumeration areas, and individual respondents on each side of a comparison. Doing so drastically improves the variation in outcomes explained by the model but does not substantively change the estimated effect of a shared mother tongue on co-voting.

Employing a network-based partition model: We lastly test whether our results are consistent when modeling our data using a network-based *Probabilistic Partition Model* (Müller-Crepon, Schvitz and Cederman 2023). The model allows us to estimate the effect of co-ethnicity on the partitioning of voters into parties while accounting for the overall dependency structure in the data as well as co-variates (see Appendix C). Here, the outcome is not whether two individuals share the same candidate preference, but the *set of* individuals with which a respondents shares her preference. As reported in Appendix C, the results closely align with our baseline findings. Co-ethnic ties between voters increase the likelihood that they "belong" to the same candidate or party (a partition in the notation of the model) in a substantive and statistically significant manner. The effect is also consistently larger than that of the other predictors with a ratio comparable to that found in our main analysis.

#### (No) Heterogeneous effects

Prior research highlights theoretical reasons to expect substantive variation in the extent to which ethnic cleavages structure the menu of parties and candidates as well as voting (e.g. Huber 2012; Mozaffar, Scarritt and Galaich 2003). We analyze such heterogeneity along electoral systems, countries' level of democracy, and the strength of traditional institutions. We do not find substantive or statistically significant variation in the effect of co-ethnicity on co-voting across these three arguably important institutional dimensions. Yet, the reader may note that the findings be-

<sup>&</sup>lt;sup>26</sup>Because the sampler underlying the parametric bootstrap proposed by Müller-Crepon, Schvitz and Cederman (2023) yields unstable result for our fully connected network data, we cluster standard errors through a non-parametric country-level bootstrap.

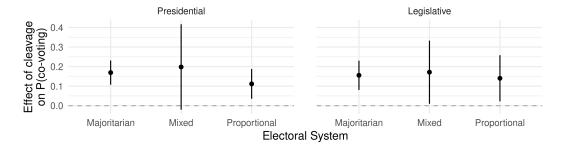


Figure 6: Heterogeneity by countries' electoral system

low need more substantiation and can only be understood descriptively as we do not account for potential endogeneity of the moderating factors.

**Electoral system:** A large literature suggests that proportional electoral systems politicize ethnic identities as particularistic parties face few obstacles to representation and may even join governing coalitions (e.g., Lijphart 2004). In contrast, some majoritarian electoral rules arguably incentivize cross-ethnic mobilization (e.g., Posner 2005). Our results in Figure 6 suggest that there are no large or statistically significant difference in the effect of co-ethnicity on co-voting. While PR systems see slightly less shared support for presidential candidates among co-ethnics, this difference is not statistically significant.

**Democracy:** Democratic institutions, specifically competitive elections, are frequently associated with ethnic mobilization (Rabushka and Shepsle 1972; Horowitz 1985; Eifert, Miguel and Posner 2010). As described above, political leaders seek to mobilize majorities through clientelism and patronage, which often follows ethnic lines (Bates 1974) which might compound the effect of cultural differences on diverging policy preferences (Lieberman and McClendon 2013). While elections within dictatorships might also follow a clientelist logic, they are less likely to reveal divergent policy preferences. Countering these considerations, we find that the effect of co-ethnicity on co-voting is, if at all, smaller in countries with greater democracy scores (see Figure 7). Yet, again, the differences we observe are not statistically significant.

Traditional institutions may co-produce local public goods (Baldwin 2016) and act

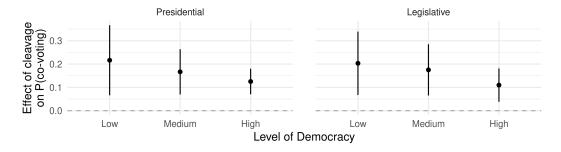


Figure 7: Heterogeneity by countries' level of democracy

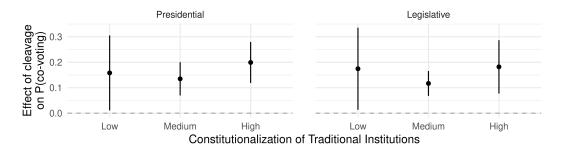


Figure 8: Heterogeneity by country's constitutionalization of traditional institutions

as complements to the state (Henn 2022) where they are institutionally tied to it (Holzinger et al. 2019). As a result, voters have incentives to vote "with their chief" (Baldwin 2013, see also De Kadt and Larreguy 2018). As a result of the entanglement between traditional authorities and ethnic identities, one might expect strong traditional institutions to come with stronger effects of co-ethnicity on co-voting. Using data on the constitutionalization of traditional authorities from (Holzinger et al. 2019), Figure 8 shows relatively little and no statistically significant variation in the effect of co-ethnicity on co-voting.

### Comparing cleavages

We now compare the effect of co-ethnicity and that of other socio-economic similarities between respondents on their rate of co-voting more systematically. To facilitate a fair comparison that takes account of differences between conditional and unconditional effects, Figure 9 plot the results of baseline models of the effect of each variable without any additional controls, as well as coefficient estimates from the fully specified models (see Table 1, Models 2 and 4). Doing so reveals a

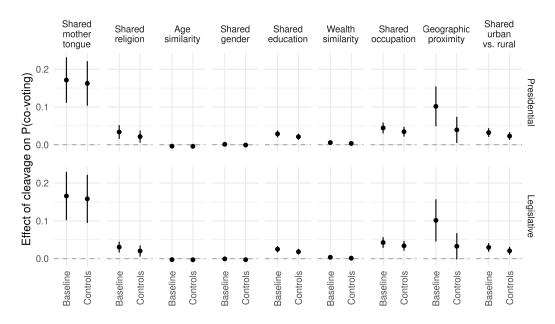


Figure 9: Results by cleavage indicator

Note: Coefficient estimates from (1) baseline model that only include the respective variable and country-fixed effects, and (2) fully specified models with controls (Eq. ). Error bars denote 95% CIs.

### number of insights.

Among identity cleavages, shared mother-tongues seem to be by far the strongest and most stable predictor of co-voting. The first column in Figure 9 repeats our main results from Table 1. Effects associated with shared religion are positive but decrease once we condition on covariates. We presume that the unconditional effect of shared religion captures some of the effect of (correlated) sharing of mother-tongues. Across support for presidential candidates and legislative parties, we find no substantive effects of age and gender similarities. For age, we find a small *negative* effect of being close in age on co-voting, suggesting that party preferences within age groups are marginally more diverse than across them.

Economic similarities show some but substantively smaller effects on convergent vote choices than those associated with shared mother tongues. Shared levels of education and occupation between respondents translate into an increase in the chance of supporting the same party by about 2 and 3.4 percentage points, respectively. These effects are robustly estimated. Interestingly, proximity in wealth-levels between respondents does not relate significantly to co-voting between them. This finding speaks to the larger literature on the (small or even absent) effect of

class on voting in Sub-Sahara Africa.

Lastly, we find geographic proximity to correlate with shared support for presidential candidates and parties. In the unconditional baseline models, increasing geographic proximity by 1'000km comes with an increase in co-voting by 10 percentage points.<sup>27</sup> Yet, once we condition on all other cleavage measures, the effect of geographic proximity drops by about 50%. This is in line with an interpretation where geography correlates with voting behavior because of its reflection of economic incentives and ethnic identities. Shared urban vs. rural status has a consistent and statistically significant effect on co-voting of approximately 2 percentage points when including controls. This is consistent with literature on rural-urban cleavages on the continent.

# Conclusion

In this paper, we introduce a novel analytical approach to study ethnic voting in Africa. Shifting from individual support for co-ethnic candidates towards agreement in vote choice in voter dyads allows us to address two key methodological weaknesses in existing work. For one, we avoid selection bias that plagues micro-level studies when the supply of candidates does not allow voters to support co-ethnic candidates, or forces them to do so in the absence of non-ethnic rival candidates (e.g., Ferree 2022). For another, we avoid ecological inference inherent in meso and macro-level research that examines ethnic co-voting but fixes ethnic groups as the main unit of analysis while disregarding other cleavages. Coincidentally, we retain the advantages of micro and macro studies. Our dyadic co-voting approach both captures individual-level effects, *and* recovers country-wide concentration indices such as the effective number of parties and the inverse of ethnic fractionalization. Finally, our analytical approach operates at scale and enables broad cross-country comparisons without sacrificing country-specific insights.

Our empirical analysis of 28 countries and five survey rounds from the Afrobarometer indicates that language-based ethnicity continues to be the dominant electoral cleavage across Sub-Saharan Africa. The effect of co-ethnicity on vote

<sup>&</sup>lt;sup>27</sup>Though note that our sample includes many small countries where such a change is unrealistic.

choice is at least five times larger than alternative cleavages including religion, shared urban or rural residence, geographic regions, as well as educational and occupational background. Although we find that co-ethnicity does not influence co-voting equally across all survey rounds and countries, prominent case studies that question the effect of ethnicity in vote choices describe exceptions rather than broader trends across the African continent (Dunning and Harrison 2010; Ferree and Horowitz 2010; Boone et al. 2022). Finally, our analysis reveals little support for factors that moderate the strength of co-ethnic voting, such as regime type, electoral rules, and traditional authorities (Rabushka and Shepsle 1972; Huber 2012; Baldwin 2013).

Our study opens up new avenues for the study of ethnic and more generally cleavage-based voting in Sub-Saharan Africa. More precisely measured data on economic income and partisanship would enable us to gain much deeper insight into class and the psychological basis of voting - two core concerns of voting research outside Africa. Yet both economic-instrumentalist and psychological motivations of voting have received much attention in the study of ethnic voting. One major theory of voting, its sociological basis (Lazarsfeld, Berelson and Gaudet 1968 (1944), has been widely overlooked by students of Sub-Saharan Africa (though see work on traditioanl institutions and norms Baldwin 2013; Holzinger et al. 2019). Given appropriate data, our dyadic co-voting approach can easily test the effect of different social networks on voting by capturing the overlap in (the homogeneity of) social contacts.<sup>28</sup>

Beyond Sub-Saharan Africa, our analytical approach lends itself to the study of the relative strength of different cleavages, such as the re-emergence of urban-rural divides (Cramer 2016), and the increasingly dominant nationalist-cosmopolitan division across western democracies (Kriesi et al. 2012). Yet our method might also benefit existing meso-level analyses vote shares in small-scale spatial units such as municipalities (e.g., Cagé Piketty 2024). These analyses face similar challenges as the ones we discussed for research on voting in Sub-Saharan Africa. Rather than estimating the likelihood of co-voting at the individual-level, we would require

<sup>&</sup>lt;sup>28</sup>Spillover experiments constitute an attractive but more costly alternative methodology (e.g., Foos and De Rooij 2017).

compositional similarity scores between spatial units in terms of voting results as a function of similarities in their social structure. After all, social and political cleavages are an inherently *relational* concept and should be operationalized and studied as such.

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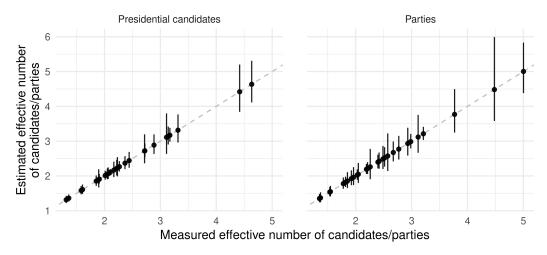
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# **Supplementary Material**

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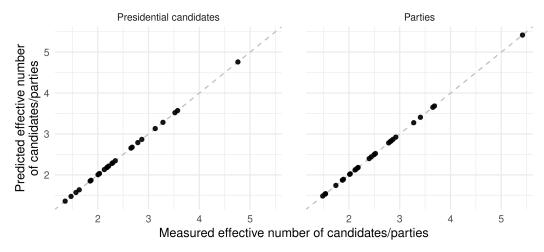
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### A Model setup



#### (a) Estimated and measured effective number of parties

Note: Estimates are derived as the inverse intercept of an otherwise empty regression model estimated separately for each country, standard errors are clustered on the level of individuals.



#### (b) Predicted and measured effective number of parties

Note: Predicted ENP is derived as the inverse average fitted probability of co-voting obtained from the fully specified regression model estimated separately for each country.

Figure A1: Empirical relation between measured Effective Number of Parties and the Linear Probability Model of co-voting

### **B** Robustness checks

### **B.1** Accounting for potentially endogenous ethnicity

Table A1: Co-voting intentions and shared home language

Dependent Variables:	Presidentia	al candidate	Legislative	e candidate
Model:	(1)	(2)	(3)	(4)
Variables				
Shared language (0/1)	0.126***	0.118***	0.127***	0.120***
	(0.031)	(0.030)	(0.031)	(0.030)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.457	0.457	0.457	0.457
Countries	26	26	28	28
Respondents	16,343	16,343	12,647	12,647
Dyads	5,471,875	5,471,875	3,157,920	3,157,920
$R^2$	0.068	0.072	0.068	0.071
Within R <sup>2</sup>	0.012	0.016	0.013	0.016

Clustered (lang.round.to & lang.round.from) standard-errors in parentheses Signif. Codes: \*\*\*: 0.001, \*\*: 0.001, \*: 0.00

Table A2: Co-voting intentions and shared ethnicity

Dependent Variables:	Presidentia	al candidate	Legislative	e candidate
Model:	(1)	(2)	(3)	(4)
Variables				
Shared ethnicity $(0/1)$	0.199***	0.192***	0.195***	0.189***
	(0.031)	(0.031)	(0.032)	(0.032)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.454	0.454	0.454	0.454
Countries	26	26	28	28
Respondents	16,001	16,001	12,382	12,382
Dyads	5,245,209	5,245,209	3,026,621	3,026,621
$R^{2}$	0.080	0.083	0.079	0.081
Within R <sup>2</sup>	0.025	0.028	0.024	0.026

Clustered (eth.round.to & eth.round.from) standard-errors in parentheses Signif. Codes: \*\*\*: 0.001, \*\*: 0.01, \*: 0.05, +: 0.1

Table A3: Co-voting intentions and linguistic proximity

Dependent Variables:	Presidentia	al candidate	Legislative	e candidate
Model:	(1)	(2)	(3)	(4)
Variables				
Mother tongue proximity (0-1)	0.205***	0.109***	0.203***	$0.100^{***}$
	(0.039)	(0.030)	(0.042)	(0.029)
Shared mother tongue $(0/1)$		0.107***		0.113***
		(0.025)		(0.028)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	Yes	Yes	Yes	Yes
Outcome mean	0.451	0.451	0.449	0.449
Countries	26	26	28	28
Respondents	15,793	15,793	12,282	12,282
Dyads	5,102,007	5,102,007	2,977,649	2,977,649
$R^{2}$	0.079	0.083	0.077	0.080
Within R <sup>2</sup>	0.022	0.026	0.021	0.025

### **B.2** Accounting for geographic variation

Table A4: Co-voting intentions and shared mother tongue: Within Administrative Regions

Dependent Variables:	Presidenti	ial candidate	Legislativ	e candidate
Model:	(1)	(2)	(3)	(4)
Shared mother tongue $(0/1)$	0.120***	0.113***	0.108***	0.100***
	(0.018)	(0.018)	(0.019)	(0.019)
Fixed-effects				
region.to	Yes	Yes	Yes	Yes
region.from	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.512	0.512	0.517	0.517
Regions	322	322	348	348
Respondents	16,334	16,334	12,622	12,622
Dyads	835,107	835,107	498,174	498,174
$\mathbb{R}^2$	0.133	0.135	0.136	0.139
Within R <sup>2</sup>	0.012	0.015	0.010	0.013

 $Clustered \ (Language \ \& \ Language) \ standard\text{-}errors \ in \ parentheses$ 

Signif. Codes: \*\*\*: 0.001, \*\*: 0.01, \*: 0.05, +: 0.1

Table A5: Co-voting intentions and shared mother tongue: Within Enumeration Areas

Dependent Variables:	President	Presidential candidate		e candidate
Model:	(1)	(2)	(3)	(4)
Variables				
Shared mother tongue $(0/1)$	0.069***	0.067***	0.064***	0.062***
	(0.019)	(0.019)	(0.018)	(0.018)
Fixed-effects				
enumarea	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.587	0.587	0.590	0.590
Enum. areas	2,292	2,292	1,436	1,436
Respondents	11,946	11,946	6,923	6,923
Dyads	27,059	27,059	14,089	14,089
$R^{2}$	0.363	0.364	0.385	0.387
Within R <sup>2</sup>	0.004	0.005	0.003	0.005

Clustered (Language & Language) standard-errors in parentheses Signif. Codes: \*\*\*: 0.001, \*\*: 0.05, +: 0.1

#### **B.3** Data construction

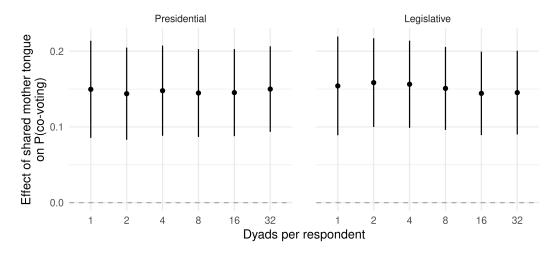


Figure A2: Effect of shared mother tongue by number of comparisons per respondent

Table A6: Co-voting intentions and shared mother tongue: Country-weights

Dependent Variables:	Presidentia	Presidential candidate		e candidate
Model:	(1)	(2)	(3)	(4)
Variables				
Shared mother tongue $(0/1)$	0.182***	0.174***	0.187***	0.180***
	(0.033)	(0.033)	(0.041)	(0.041)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.457	0.457	0.458	0.458
Countries	26	26	28	28
Respondents	16,815	16,815	12 <i>,</i> 977	12 <b>,</b> 977
Dyads	5 <i>,</i> 793 <i>,</i> 539	5 <i>,</i> 793 <i>,</i> 539	3,313,907	3,313,907
$R^2$	0.069	0.072	0.070	0.073
Within $\mathbb{R}^2$	0.020	0.023	0.022	0.024

Table A7: Co-voting intentions and shared mother tongue: Recoding 'other' parties as single parties

Dependent Variables:	pres_pa	arty_rec	part	y_rec
Model:	(1)	(2)	(3)	(4)
Variables				
Shared mother tongue $(0/1)$	0.155***	0.148***	0.162***	$0.154^{***}$
	(0.030)	(0.030)	(0.033)	(0.032)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.420	0.420	0.447	0.447
Countries	28	28	28	28
Respondents	17 <b>,</b> 691	17,691	13,206	13,206
Dyads	6,352,112	6,352,112	3,403,310	3,403,310
$R^{2}$	0.080	0.083	0.077	0.080
Within R <sup>2</sup>	0.015	0.018	0.017	0.019

### **B.4** Model specification

Table A8: Co-voting intentions and shared mother tongue: Logistic regression

Dependent Variables:	Presidenti	al candidate	Legislative	e candidate
Model:	(1)	(2)	(3)	(4)
Shared mother tongue $(0/1)$	0.748***	0.708***	0.743***	0.709***
	(0.134)	(0.131)	(0.144)	(0.143)
Shared religion $(0/1)$		0.104**		0.099**
		(0.036)		(0.036)
Age similarity (decades)		-0.018***		-0.013*
		(0.005)		(0.006)
Shared gender $(0/1)$		-0.002		-0.009*
		(0.004)		(0.005)
Shared education $(0/1)$		0.096***		0.083***
		(0.017)		(0.017)
Wealth similarity (sd)		0.017**		0.008
		(0.007)		(0.007)
Shared occupation $(0/1)$		$0.145^{***}$		$0.141^{***}$
		(0.027)		(0.028)
Geographic proximity (1'000km)		0.201*		0.164*
		(0.082)		(0.081)
Shared urban vs. rural $(0/1)$		0.095***		0.080***
		(0.023)		(0.023)
Fixed-effects				
Country x Round	Yes	Yes	Yes	Yes
Fit statistics				
Controls	No	Yes	No	Yes
Outcome mean	0.458	0.458	0.457	0.457
Countries	26	26	28	28
Respondents	16,813	16,813	12,957	12 <b>,</b> 957
Dyads	5,782,602	5,782,602	3,295,990	3,295,990

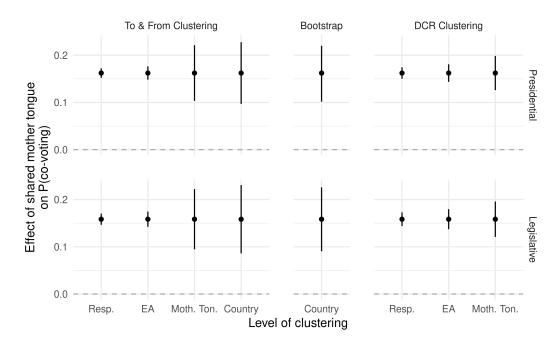


Figure A3: Varying the clustering of standard errors

Table A9: Co-voting intentions and shared mother tongue: Fixed effects specification

Dependent Variables:	Presid	dential cand	lidate	Legis	slative cand	idate
Model:	(1)	(2)	(3)	(4)	(5)	(6)
Variables						
Shared mother tongue $(0/1)$	0.157***	0.148***	0.148***	0.173***	0.158***	0.169***
	(0.032)	(0.032)	(0.033)	(0.036)	(0.034)	(0.037)
Fit statistics						
Fixed Effects	Lang.	EA	Resp.	Lang.	EA	Resp.
Controls	Yes	Yes	Yes	Yes	Yes	Yes
Outcome mean	0.459	0.459	0.459	0.460	0.460	0.460
Countries	26	26	26	28	28	28
Respondents	16,824	16,824	16,824	12,970	12,970	12 <i>,</i> 970
Dyads	5,803,878	5,803,878	5,803,878	3,310,183	3,310,183	3,310,183
$R^2$	0.117	0.228	0.425	0.121	0.255	0.435
Within R <sup>2</sup>	0.017	0.019	0.024	0.019	0.021	0.028

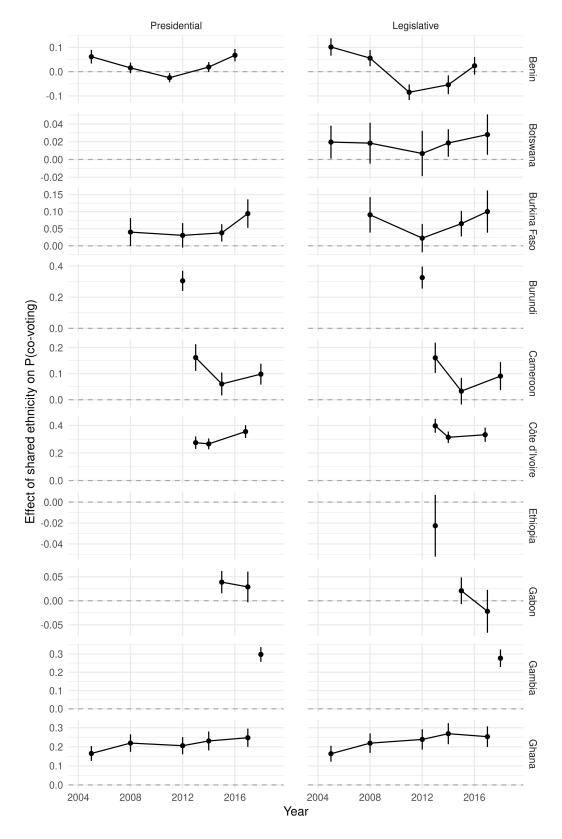


Figure A4: By country, over time, I

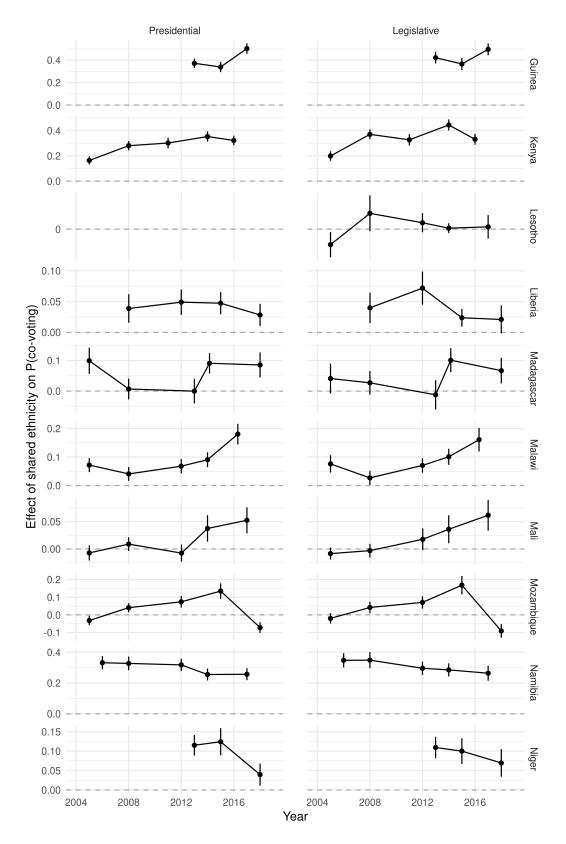


Figure A5: By country, over time, II

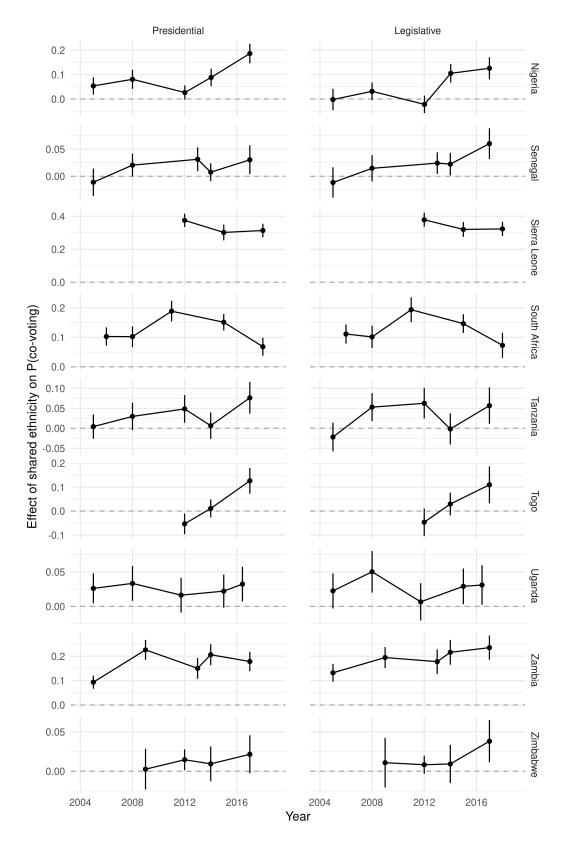


Figure A6: By country, over time, III

### C Network-based partition model

We here apply the recently developed *Probabilistic Spatial Partition Model* (Müller-Crepon, Schvitz and Cederman 2023) to the case of the partitioning of voters into parties. Applying this model requires us to understand voters as the nodes of a network which gets partitioned into partitions (candidates or parties) based on dyadic differences and similarities between voter characteristics, in short, electoral cleavages.

#### C.1 Probabilistic Partition Model

Following Müller-Crepon, Schvitz and Cederman (2023),<sup>29</sup> we model the partitioning of voters as a Boltzman distribution

$$Pr(P = p_k) = \frac{e^{-\epsilon_k}}{\sum_{k=1}^{|\mathbb{P}|} e^{-\epsilon_k}},$$
 (A1)

where the chance that a given partitioning  $p_k$  is realized decreases with its "energy"  $\epsilon_k$ . This energy can be interpreted as political tensions in a given division of voters into parties: the more voters are dissatisfied with the party they vote for in a given partitioning, the higher the tension and the less likely the partitioning emerges. Partitionings' energy  $\epsilon_k$  results from attractive and repulsive forces  $\epsilon_{i,j}$  between voters i and j. These forces are only realized when i and j support the same party ( $\mathbb{1}_{i,j} = 1$ ) and not otherwise:

$$\epsilon_k = \sum_{i,j \in L} \mathbb{1}_{i,j} \epsilon_{i,j},\tag{A2}$$

$$\epsilon_{i,j} = \beta_0 + \gamma \, \mathbf{x}_{i,j},\tag{A3}$$

The political attraction and/or repulsion between pairs L of voters i and j is determined on the one hand by a constant baseline attraction  $\beta_0$ , as well as a vector of dyadic comparisons  $\mathbf{x}_{i,j}$  between them. These comparisons can include binary indicators of differing ethnicity or gender, as well as distance measures, such as their wealth difference or geographic distance between them. Intuitively, we expect individuals with different ethnic backgrounds or vastly different incomes to be less likely to vote for the same party – indeed, were the same party trying to attract them, it might end up not succeeding or splitting. The vector of  $\gamma$  parameters indicates the effect of each dyadic voter comparison on the attraction and repulsion between voters and thus ultimately the partitioning of voters into parties. Estimating parameters in  $\gamma$  is therefore our ultimate goal.

As can be seen, similar to our setup in the baseline analysis, this formulation of vote choice is entirely dependent on comparisons between voters and does therefore not pre-suppose the existence of any party or set of parties. These emerge endogenously as the result of co-voting between voters. This allows for estimating the model across countries or country-periods with differing sets of parties and

<sup>&</sup>lt;sup>29</sup>Müller-Crepon, Schvitz and Cederman (2023) develop the model to explain *spatial* partitionings. We diverge from the spatial setting n particular in our setup of the network data.

candidates.

We estimate Eq. A3 using the same data as used in the main analysis. In fact, the set of dyadic comparisons constructed for each country-round of the Afrobarometer can be represented as a graph  $G_{c,t}$  of voters  $i,j \in N$  who are associated with party or candidate preferences. The edges L of G encode the covariates  $\mathbf{x}_{i,j}$  in Eq. A3 that determine whether voters i and j are likely to vote for the same (attraction) or two different (repulsion) parties. These co-variates are the same as used in the main analysis. Instead of a separate fixed effect for each country, we add one variable which stores the average attraction between nodes from each country.<sup>30</sup>

#### C.2 Results

Table A10 presents the main estimates from the partition model, derived – as in the main analysis – from unconditional and conditional models of the effect of share mother tongues on respondents' joint support for presidential candidates and legislative parties. We find relatively large estimates which are stable across specifications and outcomes and associated with little uncertainty. Importantly and as in our main analysis, the effect associated with a shared mother tongue does not significantly change with the introduction of other covariates

Table A10: Shared mother tongue and respondents' partitioning into candidates and parties

	Presidentia	l candidates	Party s	support
	(1)	(2)	(3)	(4)
Constant	0.0000	0.0045*	0.0003	0.0042*
	[-0.0007; 0.0013]	[0.0020; 0.0067]	[-0.0003; 0.0024]	[0.0010; 0.0065]
By-country intercept	0.8087*	0.8163*	0.8450*	0.8670*
	[0.7171; 0.9302]	[0.7005; 0.9877]	[0.7906; 0.9848]	[0.7833; 1.0355]
Shared mother tongue	-0.0090*	-0.0086*	-0.0094*	-0.0091*
Ü	[-0.0119; -0.0063]	[-0.0115; -0.0058]	[-0.0160; -0.0056]	[-0.0155; -0.0058]
Countries	26	26	28	28
Respondents	16824	16824	12970	12970
Edges	5803878	5803878	3310183	3310183
Controls	no	yes	no	yes

 $\it Notes: 95\%$  confidence intervals from country-level bootstrap in parenthesis. \* Statistically significant at the 95% level.

We take two additional steps to gauge the comparability between the results from the partition model with our main results. First, we sequentially decrease the connectivity in graph G that underlies the model to the point where each respondent is connected only to one other respondent.<sup>31</sup>

Estimates from the disjoint graph of one dyad per respondent without any overarching network structure (see Figure A7) are very close<sup>32</sup> to the estimates obtained from a logistic regression model using the main specification (see Table A8 above).<sup>33</sup>

<sup>&</sup>lt;sup>30</sup>This is derived as the intercept of an otherwise empty model estimated separately for each country.

try.  $^{31}$ We ensure that each respondent is connected to a uniform number of edges by constructing the sparse graphs G as the union of ring graphs. Each ring graph contains the full set of respondents in a random order and connects each respondent to their two ring-neighbours. Respondent orders are sampled such that the ring graphs do not contain overlapping edges.

<sup>&</sup>lt;sup>32</sup>Deviations can be explained by the sampling error incurred when sparsening the graph.

<sup>&</sup>lt;sup>33</sup>The partition model indeed reduces to a simple edge-wise logistic regression where edges are not connected to each other, see Müller-Crepon, Schvitz and Cederman (2023).

Once the density of the network increases, coefficient estimates naturally decrease – this reflects that the importance (or 'energy') of any one edge in influencing the partition membership of each node decreases with the number of its edges. Yet, Figure A7 shows that the *ratio* between the effect of shared mother tongue and the remaining coefficients remains remarkably stable. We take this as further evidence that the network estimator closely mirrors our main results.

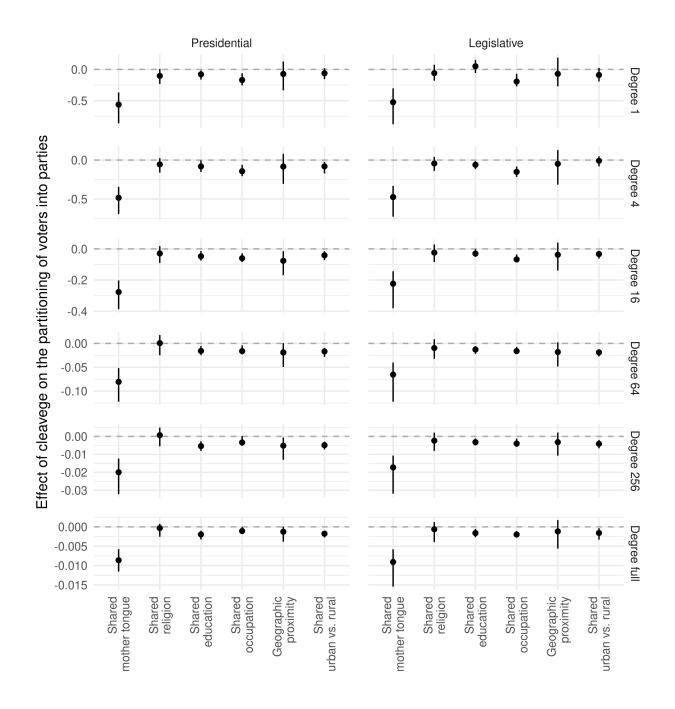


Figure A7: Partition model estimates by degree of connectivity

Note: The figure plots the most important predictors of the partitioning of respondents into presidential candidates and parties, by degree of network connectivity. Each set of coefficients results from estimating Eq. A3 using the full set of control variables.

## D References (Appendix)

Müller-Crepon, Carl, Guy Schvitz and Lars-Erik Cederman. 2023. "Shaping States into Nations: The Effects of Ethnic Geography on State Borders." *American Journal of Political Science, FirstView*.